



# Excipients and Vehicles in Galenic Practice: Considerations for Neonatology and Pediatrics

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## Abstract

Excipients are fundamental components of galenic formulations, critically influencing the safety and efficacy of the final medicinal product. This is of paramount importance in neonatal and pediatric populations, where physiological immaturity results in significant differences in pharmacokinetics and pharmacodynamics compared to adults.

This work provides a comprehensive overview of excipients and vehicles used in galenic preparations for these vulnerable groups. It highlights specific excipients known to be dangerous, detailing their mechanisms of toxicity, and suggests safer alternatives. The discussion covers formulations for oral solutions, suspensions, and topical dermatological use, including ready-to-use vehicles. The role of the prescribing physician and the verifying pharmacist is emphasized, underscoring the necessity of checking for efficacy, safety, incompatibilities, and microbiological stability. Furthermore, innovative technologies such as 3D printing for pediatric dosage forms are discussed. The conclusion asserts that a rigorous, risk-based assessment of excipients is essential in neonatal and pediatric galenic practice to ensure patient safety.

## Introduction

Galenic medicine, involving the extemporaneous preparation of customized medications, is vital in neonatology and pediatrics. Approximately 40% of drugs used in children are magistral formulations or used off-label, often due to the lack of

suitable commercial products [1]. The choice of pharmaceutical form is pivotal; children under 6-8 years often have difficulty swallowing tablets or capsules, making solutions and suspensions the preferred forms to avoid airway obstruction [2].



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Excipients are not inert. They serve critical functions: as vehicles to improve drug delivery, ensure stability, facilitate manufacturing, and enhance palatability. However, for neonates and children, certain excipients can pose serious risks due to their underdeveloped metabolic and excretory systems [3,4]. The skin of a newborn, particularly preterm infants, is thinner and more permeable, offering a poor barrier and increasing the risk of systemic toxicity from topical applications [5,6].

This manuscript reviews the current state of knowledge regarding excipient safety in pediatric populations, identifies harmful substances to avoid, proposes safer alternatives, and discusses practical considerations for the galenic formulation of safe and effective medicines for children.

## Materials and methods

This review was conducted from an observational perspective. A comprehensive analysis of relevant scientific literature was performed using major online databases (e.g., PubMed, Scopus, Web of Science) with keywords including “excipients,” “neonatology,” “pediatrics,” “galenic,” “safety,” and “toxicity.” Only peer-reviewed articles, official guidelines from regulatory bodies (EMA, FDA), and authoritative pharmacological texts were considered.

In addition, a five-year observational analysis (2019-2023 external production; 2024 internal production) was conducted in a hospital galenic laboratory. The study monitored official reports concerning excipient or vehicle toxicity in magistral preparations (capsules, oral suspensions, syrups, solutions, gels, powders) for neonatal and pediatric patients.

## Results

### Literature review findings

The pediatric population exhibits vast pharmacokinetic and pharmacodynamic variability, making them exceptionally vulnerable to excipient toxicity [1,3]. Key findings from the literature include:

**High-Risk Excipients:** A consensus identifies the most concerning excipients for neonates as: benzyl alcohol, ethanol, propylene glycol, polysorbate 80, parabens, benzoic acid, sodium benzoate, benzalkonium chloride, sorbitol, and aspartame [3,7,8].

### According Annex 5 Who Technical Report Series 2012

Development of paediatric medicines: points to consider in formulation “Solubility enhancers; The aqueous solubility of the API may limit the concentration achievable in formulated solutions and, hence, the desirable dose volume. In many cases an acceptable solution requires solubility enhancing methods, like use of non-ionic surfactants and of co-solvents such as glycerol, liquid macrogols and ethanol. If solubility enhancers are to be used, consideration should be given to the safety of both the agent and the formulation, the risk of irritation and damage of intestinal tissues in neonates caused by hyperosmolality or other local toxicity”

### Mechanisms of toxicity (some):

- Benzyl Alcohol: Associated with fatal “gasping syndrome” in preterm neonates due to metabolic acidosis and CNS depression [9].
- Propylene Glycol: Can cause CNS depression, seizures, and

hyperosmolality; its half-life is significantly prolonged in neonates (~17 hrs vs. ~5 hrs in adults) [10].

- Ethanol: Causes neurotoxicity and cardiovascular problems; exposure is linked to developmental delays [3].
- Sodium Benzoate: Can displace bilirubin from albumin, increasing the risk of kernicterus in jaundiced neonates [7].
- Parabens: Potential endocrine-disrupting effects, with heightened sensitivity in newborns [11]. hyperbilirubinaemia, hypersensitivity reactions, and delayed-contact dermatitis Neonates
- Aspartame: Contraindicated in patients with phenylketonuria (PKU) as it metabolizes to phenylalanine [3].
- Glycerol : Cases of neurological toxicity have been reported in the paediatric population.
- Sulfites: That can cause slight flushing, dermatitis, hypotension, diarrhea urticaria and abdominal pain to life-threatening asthma and anaphylactic reactions.

**Water:** is the most commonly used agent in paediatric formulations, as liquid preparations are easier to administer and allow a more accurate dose adjustment . Water is an ideal medium for the proliferation of microorganisms (bacteria and fungi) despite their purification.”

**Topical formulations:** The immature skin barrier in infants, coupled with a high surface-area-to-weight ratio, increases systemic absorption. Excipients like propylene glycol, sodium lauryl sulfate, and certain preservatives can cause irritation, in some situation since burns, or systemic toxicity [5,6]. Parabens can cause contact dermatitis, to be avoided for small children in cosmetic: silicones products, petrolate, aggressive tensioactive and substance that can alter the skin functions.

Povidone-iodine is an effective antiseptic, but its topical use has been associated with a number of adverse reactions in burn patients and in neonates as a result of transcutaneous absorption.

**Databases and resources:** The STEP (Safety and Toxicity of Excipients for Paediatrics) database was developed to compile safety data on excipients for children, addressing a critical knowledge gap [12].

### Observational analysis results

Over the five-year observation period in the galenic lab, no official written reports of toxicity directly attributed to excipients were filed. However, several proactive interventions were documented:

- A request to avoid titanium dioxide in capsules for an oncology patient with a diagnosed allergy.
- A request for a citrus-free glucose solution for a pregnant woman with a citrus allergy.
- A specific request for wool wax ( cera lanetta) in a cream for a patient with ichthyosis.
- This suggests that while adverse events are rare, vigilance and individualized assessment are standard practice.

### Examples of formulations and vehicles

Several common pediatric galenic preparations and their

bases were identified (See Figures 1-11 in Appendix for examples):

- **Ready-to-use vehicles:** Commercial vehicles like SyrSpend® SF PH4 (preservative-free or preserved with potassium sorbate), Ora-Blend®, Ora-Plus®, and Ora-Sweet® are commonly used for compounding stable oral suspensions [13,14].
- **Common preparations:** Examples include extemporaneous suspensions of flecainide, propranolol, captopril, ibuprofen (with Wagner bases), and niaprazine [14,15] and Figure 3.
- **Innovation:** Excipient bases for automated compounding and 3D printing (e.g., CuraBlend®) are emerging technologies for producing personalized doses in pediatrics [16].

### Discussion

The case of phenytoin intoxication in Australia (1968-69) due to a change from calcium sulfate to lactose excipient starkly illustrates that excipients are pharmaceutically active and can critically influence drug bioavailability and safety [17]. This historical lesson underscores the necessity of meticulous excipient selection for vulnerable populations.

Our review confirms that neonates and preterm infants are at the highest risk due to physiological immaturity. The principle that “the use of excipients in pediatric formulations should be justified through a risk-based assessment” is paramount [18]. This involves:

- 1) Patient factors: Assessing age, weight, organ function, and comorbidities, allergy and intolerance (e.g., PKU, lactose intolerance, avoid saccharose in diabetic, global sodium charge provided by the APIs and excipients in CV pathology).
- 2) Excipient factors: Evaluating the excipient’s function, daily intake, duration of therapy, and potential for additive toxicity in polypharmacy.
- 3) Formulation factors: Ensuring chemical and physical compatibility between API and excipients, and establishing a valid beyond-use date based on stability studies.
- 4) The duration of the therapy (short of prolonged exposition to a topical therapy).
- 5) Avoid flavoring in oral suspension for very small children (to prevent allergy)
- 6) To be considered for every substantia is also the threshold for toxicity: some excipients or at some concentration it can be harmful for newborn and not for more higher children.
- 7) Useful to consult the technical sheet of the bases used for every single APIs, not give too much rapid response inaccurate to physicians according the compatibility: verify literature, pharmaceutical technique textbook, pharmacopeia, international public healthcare website and database and other useful official resource.

Verify the quantitative limits admitted (BNF for children) and the route of administration.

### For pharmacists, the process is multifactorial:

- **Verification:** Scrutinizing prescriptions for dosage, excipient choice, and potential allergies.
- **Selection:** Choosing the appropriate pharmaceutical form and vehicle (e.g., buffered vehicles for acid-labile APIs).

- **Quality control:** Using calibrated equipment, purified water, and following aseptic techniques when necessary.
- **Labeling:** Providing clear instructions (e.g., “Shake Well,” storage conditions) and a complete list of excipients.
- **Communication:** Educating caregivers on correct administration and collaborating closely with prescribers to select the safest formulation.

The observational study’s lack of adverse event reports is positive but likely reflects under-reporting and the effectiveness of preventive risk assessment rather than an absence of risk.

### Conclusion

The preparation of galenic formulations for neonates and children is a complex process that demands a deep understanding of pharmaceutical technology, pharmacology, and toxicology. Excipients must be considered active ingredients whose safety profiles are age-dependent.

A proactive, collaborative approach between pediatricians, neonatologists, and pharmacists is essential. This involves:

- Consulting toxicity databases (e.g., STEP).
- Prioritizing licensed products, then off-label use of licensed products, before resorting to unlicensed magistral preparations.
- Selecting excipients with the highest safety margin for the specific age group.
- Avoiding known high-risk excipients like ethanol, propylene glycol, benzyl alcohol, and parabens in neonates whenever possible.
- Utilizing modern, well-characterized ready-to-use vehicles that minimize harmful additives.
- Embracing new technologies like 3D printing for dose personalization, provided excipient safety is ensured.

Ultimately, the goal is to ensure that every magistral formula is not only therapeutically effective but also unequivocally safe, adhering to the highest standards of pharmaceutical practice.

### Author declarations

### Conflict of interest

The authors declare no conflict of interest.

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APPENDIX

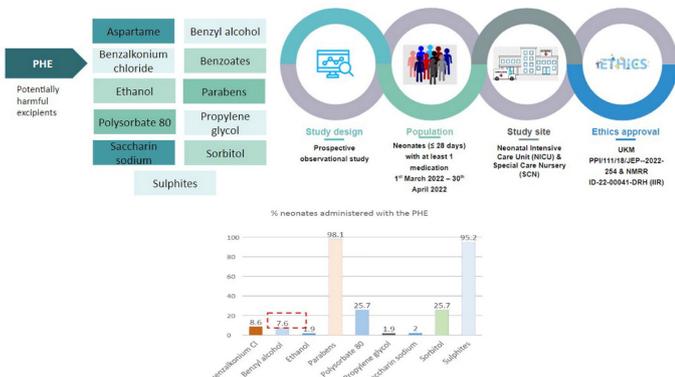


Figure 1: Quantitative limits for common excipients in children's medicines. (Adapted from Arthur & Burgess, 2017).

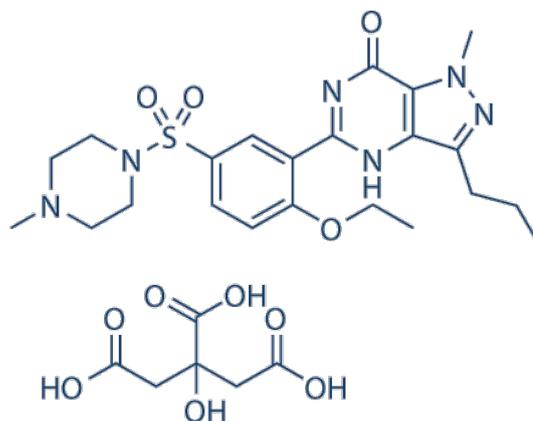


Figure 6: Sildenafil citrate (PDE5 inhibitor, to treat pediatric pulmonary hypertension).

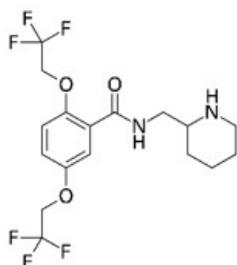


Figure 2: Flecainide – Antiarrhythmic medication (oral suspension, capsules).

|                                     |              |
|-------------------------------------|--------------|
| Niaprazina                          | 300 mg       |
| Base L. X SOSP .pH 4ml 500 GILIEGIA | Qb a 500.0ml |

Figure 3: From ACEF website.

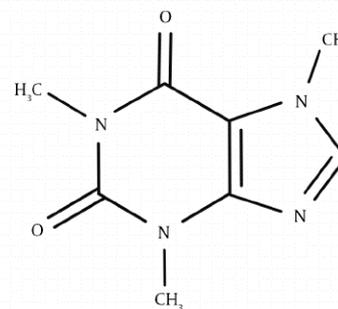


Figure 7: Caffeine (to treat apnea of prematurity in premature infants) – galenic papers.

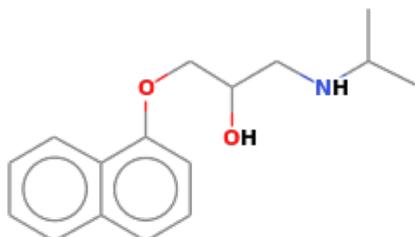


Figure 4: Propranolol – beta blockers not selective (to treat some heart conditions in newborn and pediatry) Are used in pediatry oral suspension or cps.



Figure 8: Phenobarbital (recommended drug for the treatment of seizures in term neonates.)- Galenic papers.

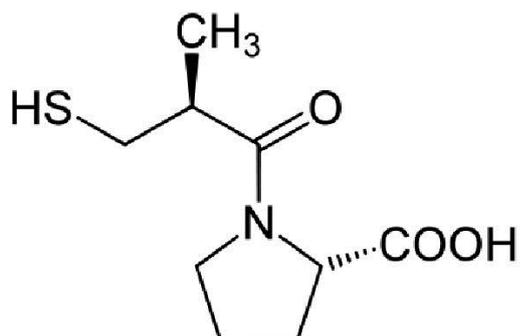
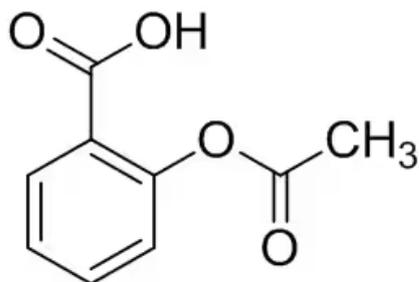


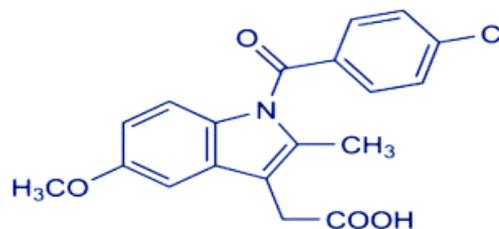
Figure 5: Captopril (ACE inhibitor – antipertensive), cps, oral suspensions.



Figure 9: Used for I.a.t. gel (lidocaine, adrenalin, tertacaine) in pediatry -emergency (to treat dermatological little wounds)- external use.



**Figure 10:** Acetyl salicylic acid: Used in peditry (S. KAWASAKY)- galenic papers.



**Figure 11:** L'indometacin (used in peditry to treat the patency of the arterious Botallo hole in new born-premature )- ORAL suspension. E. Ho Cho

**Table 1:** Toxicity databases and public resources from <https://doi.org/10.3390/pharmaceutics13030387>.

| Name   | Website  | Creator  |
|--|--|--|
| ACToR -Aggregated Computational Toxicology Resource    | <a href="http://www.actor.epa.gov/actor/home.xhtml">www.actor.epa.gov/actor/home.xhtml</a> (accessed on 15 November 2020)        | US Environmental Protection Agency's (EPA) National Center for Computational Toxicology (NCCT) |
| STEP-Safely and Toxicity of Excipients for Paediatrics | <a href="http://www.eupifi.org/step-database-info/">www.eupifi.org/step-database-info/</a> (accessed on 15 November 2020)        | European Paediatric Formulation initiative   |
| TOXNET-Toxicology Toxicology Data Network              | <a href="http://www.nlm.nih.gov/toxnet/index.html">www.nlm.nih.gov/toxnet/index.html</a> (accessed on 15 November 2020)          | Specialized information Services (SIS) USA   |
| Vitic  | <a href="http://www.hasalimited.org/products/vitic.htm">www.hasalimited.org/products/vitic.htm</a> (accessed on 2 November 2020) | Lhasa Limited  |

The purposes of the STEP daabase can be consulted in the Appendix A.

**Table 2:** European medicines agency proposed safety limits for propylene glycol [17]. from Sara Arthur & Anna Burgess (quantitative limits).

|                                    | Neonated up to 28 days (or 44 weeks post-menstrual age for pre-terms) | Children aged 1 month-4 years | Children aged 5-17 years |
|------------------------------------|---|-------------------------------|--------------------------|
| Safety limits (maximum daily dose) | 1 mg/kg   | 50 mg/kg                      | 500 mg/kg                |

**Table 3:** From RESEARCH STUDY © 2011 SNL European Study of Neonatal Exposure to Excipients (ESNEE).

| Known safety concerns of excipients included in the study            |  |  |   |
|--|--|--|---|
| Excipient  | Biochemical/other effects  | Safety concerns  | Pharmaceutical issues in neonates   |
| Sodium benzoate/ benzoic acid  |  | Neonates appear to lack the capacity to conjugate with glycine. This leads to the buildup of benzoic acid which can cause metabolic acidosis and neurotoxicity       | In the UK all formulations of topical antifungal agents on the market contain sodium benzoate or benzoic acid |
| Propylparaben (propyl hydroxy- benzoate; propyl parahydroxybenzoate) | May affect protein binding by bilirubin. Suggestion of oestrogenic activity with potential reproductive effects that requires further work | Suggestion that long-term accumulation can occur in some tissues   | Widely used in medicines given to healthy babies  |
| Ethanol  |  | Intoxication Effects on neurones   | Widely used in medicines given to healthy babies  |
| Polysorbate 80 (polyoxyethylene sorbitan fatty acid ester)           |  | Serious adverse reactions, including some deaths, in low-birthweight infants administered an IV vitamin E preparation containing a mixture of polysorbates 20 and 80 | Widely used in medicines given to healthy babies  |
| Propylene glycol   | Can intoxicate in same way as ethanol but one third as potent in this regard   | Ototoxicity; cardiovascular effects; CNS toxicity; seizures; hyperosmolarity; lactic acidosis  | Median exposure of 34mg/kg/24hr for 48hr in preterm neonates did not affect short-term adaptation to birth    |
| Sorbitol   |  | May cause problems in people with congenital fructose intolerance (c. 1:20,000 live births); osmotic laxative effect   |   |

**Chart 1:** Risks of using topical preparations in newborn babies, infants and children.

|                        | <b>Product</b>                          | <b>Risk</b>  |
|------------------------|---|--|
| Triclosan              | Soap, deodorants antiseptics            | Same risk of toxicity of other phenolic compounds  |
| Propylene glycol       | Emollients, cleaning agents             | Skin irritation and burning Excessive enteral and parenteral use risk of hyper osmolality and seizures |
| Benzethonium chloride  | Cleaning Agents                         | Poisoning by ingestion, carcinogenesis   |
| Glycerin               | Emollients, cleaning agents             | Hyperosmolality and seizures   |
| Ammonium lactate       | Exfoliating. emollient                  | Possible lactic acidosis   |
| Coal tar               | Shampoos, keratolytic products          | Cancer risk due to excessive use of aromatic hydrocarbons  |
| Tetracaine             | Topical anesthetic                      | Contact Dermatitis   |
| Ethanol                | Oral cleaning solutions                 | Oral carcinogenesis  |
| Methyisothiazolinone   | Shampoos                                | Neurological defects   |
| Sodium lauryl sulfate  | Soap, shampoos                          | Skin irritation contact dermatitis   |
| Sodium laureth sulfate | Toothpaste, soap, shower gel, bath foam | Skin irritation contact dermatitis   |

An Bras Dermatol. 2011; 86(1): 102-10.